



Niels Bohr Institutet



# Induced interactions and lattice instability in the inner crust of neutron stars

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**IN MEMORY OF MY FRIEND AND TEACHER**  
**VITALIY BYCHKOV**  
**1968-2015**



# Work done with

## Modes and structure of the crust:

D. N. Kobyakov & C. J. Pethick.

*Collective modes of crust* – **PRC 87, 055803 (2013)**;

*Lattice instability* – **PRL 112, 112504 (2014)**;

## Physics of multicomponent superfluid phase

D. N. Kobyakov, L. Samuelsson, E. Lundh, M. Marklund, V. Bychkov & A. Brandenburg.

*Quantum hydrodynamics of cold nuclear matter* – 2015, unpub.

# Motivation:

## Importance of induced interactions

- Superfluid gaps
- Collective hydro-elastic mode velocities *in the inner crust*
- Collective hydrodynamic mode velocities *in the outer core*
- Lattice structure and role of the neutron liquid *in the inner crust*
- Low-temperature thermal, transport, rotational and magnetic properties

*This physics is crucial in the following applications*

- Nuclear structure (especially beyond the neutron drip density)
- Models of cooling (especially in low-mass x-ray binaries)
- Models of quasiperiodic oscillations after x-ray flares
- Modes in magnetars
- Models of pulsar glitches

# Examples of induced interactions in neutron stars

- ❑ Neutron-proton coupling renormalizes masses of the Nambu-Goldstone (the Bogoliubov-Anderson) modes both *in the inner crust and in the core*
- ❑ Renormalization of the relaxation time of the Cooper instability. (Neutron superfluid gap is reduced by the Gorkov-Melik-Barkhudarov corrections, but amplified by the neutron-phonon interaction *in the inner crust*)
- ❑ Proton superfluid gap is strongly influenced by the neutron-induced interactions *in the core*
- ❑ Coupling of superfluid neutrons to the magnetic field due to the neutron-proton coupling *in the core*

# Plan

1. Induced interactions in physics
2. Dynamic effects of induced neutron-proton interactions in the core
3. Instability of the lattice in the crust
4. Remarks about numerical models of the crust: the shear modulus

# *Induced interactions in physics*

# Importance of induced interactions in physics

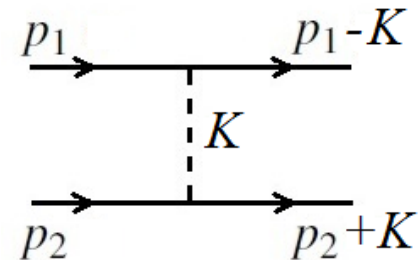
- Induced interactions change basic properties of particles

## A simple physics example

- Interaction between the electrons in cold metals becomes attractive (electron-phonon induced interactions)

$$\Gamma_{\gamma\delta,\alpha\beta} = \delta_{\alpha\gamma}\delta_{\beta\delta} \left( -\frac{i\omega}{\rho} \right)^2 \frac{\rho k^2}{\omega^2 - u^2 k^2 + i0}$$

If for both electrons  $|\varepsilon - \varepsilon_F| \ll \omega_D$ ,  
then  $\Gamma_{\gamma\delta,\alpha\beta} > 0$  (attraction)





*Dynamic effects of neutron-proton  
induced interactions in the core*

# Effective field theory

- We need an effective field theory to describe macroscopic phenomena related to superfluidity
- Once the effective degrees of freedom are well defined, the theory may be formulated in terms of these degrees
- The most fundamental principle – the least action principle
- Parameters of such phenomenological theory are chosen so to match the basic properties to properties of the real material

# Entrainment in the core

- The Fermi-spheres of neutrons and protons induce kinetic energy contributions  $\propto$  terms of 2 order in  $\nabla$  and of 4 order in  $\psi$
- **Superfluid entrainment** found in the literature :

$$\begin{aligned}
 & +\lambda_1 (\mathcal{D}\psi_1) (\nabla\psi_2^*) \psi_1^* \psi_2 + \lambda_2 (\mathcal{D}^*\psi_1^*) (\nabla\psi_2) \psi_1 \psi_2^* \\
 & +\lambda_3 (\mathcal{D}\psi_1) (\nabla\psi_2) \psi_1^* \psi_2^* + \lambda_4 (\mathcal{D}^*\psi_1^*) (\nabla\psi_2^*) \psi_1 \psi_2.
 \end{aligned} \tag{A1}$$

- Since  $|\psi|^2$  *has a meaning of* superfluid number density, and since the entrainment *must* be Galilean-invariant, therefore Eq. (A1) misses few terms (of the form  $\sim |\psi_1|^2 |\nabla\psi_2|^2$ )
- This little detail is crucial for formulation of the effective field theory of a superfluid mixture

# Effective field theory of superfluid-superconductor mixture

- Total energy of superfluid mixture and electromagnetic field (Kobyakov, Samuelsson, Lundh, Marklund, Bychkov & Brandenburg 2015):

$$\begin{aligned}
 E_s[\psi_p, \psi_n, \psi_p^*, \psi_n^*, \mathbf{A}] = & -\sum_{a,b=0\dots3} \left[ \frac{1}{16\pi} (\partial_a A_b - \partial_b A_a)^2 \right] \\
 & + \frac{\hbar^2}{2m} |\mathcal{D}\psi_p|^2 + \frac{\hbar^2}{2m} |\nabla\psi_n|^2 - \sum_{\alpha=p,n} a_\alpha |\psi_\alpha|^2 + C [|\psi_p|, |\psi_n|] \\
 & - \frac{1}{2} \rho_{np} \left( \frac{\hbar}{2im} \nabla \ln \frac{\psi_p \psi_n^*}{\psi_p^* \psi_n} - \frac{2e}{mc} \mathbf{A} \right)^2,
 \end{aligned}$$

- «Entrainment parameter» - non-diagonal element of Andreev-Bashkin matrix of superfluid densities (Chamel & Haensel 2006)

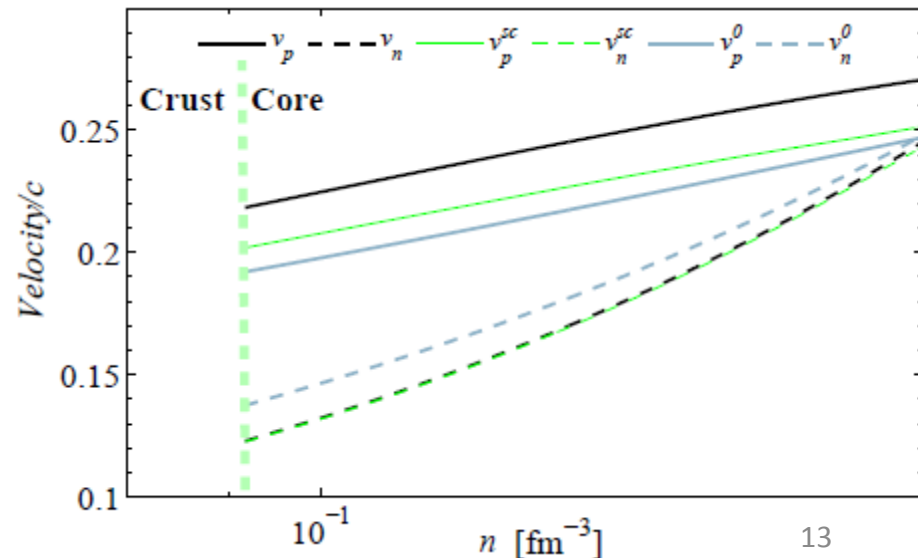
$$\rho_{np} = \tilde{\alpha}_{np} n_p n_n.$$

# Dynamic effects of induced neutron-proton interactions

- The fractional quantum of magnetic flux (Alpar, Langer & Sauls 1984; Kobyakov *et al.* 2015):
- Relaxation of relative motion of the electrons and the core of neutron vortices (Alpar, Langer, Sauls 1984)
- Renormalization of masses of the Nambu-Goldstone boson, or sound speeds (Kobyakov *et al.* 2015)

$$\Phi_{q_p, q_n} = \frac{\pi \hbar c}{e} \left( q_p + \frac{\rho_{np}^0}{\rho_{pp}^0} q_n \right)$$

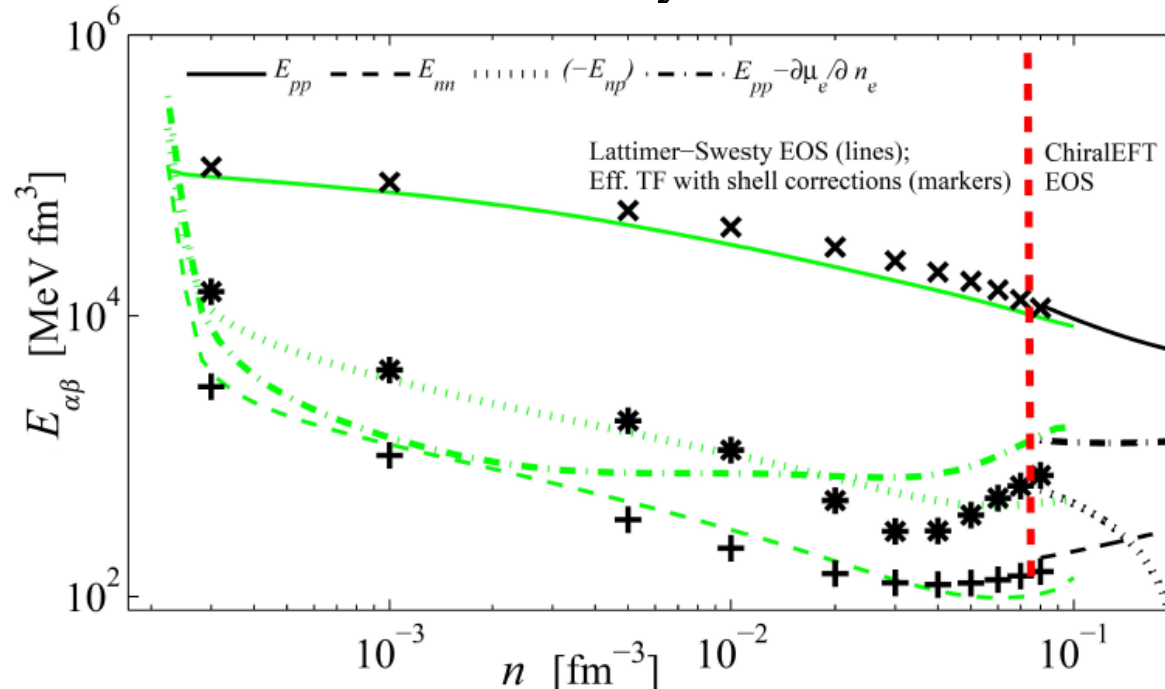
$$\tau_{relax} \sim 1 \text{ [sec]}$$



# Equation of state of nuclear matter for

## calculation of $\frac{\partial^2 E}{\partial n_\alpha \partial n_\beta}$

- EOS of uniform nuclear matter based on chiral effective field theory and observations of neutron stars (Hebeler, Lattimer, Pethick & Schwenk 2013)
- Check the behaviour at low densities (Kobyakov *et al.* 2015): matching to the Lattimer-Swesty EOS (Kobyakov & Pethick 2013) and the effective Thomas-Fermi theory with shell corrections (Chamel 2013)



# First problem: dissipation

- Dissipation on a simple example

$$i\partial_t \psi(\mathbf{r}) = -\nabla^2 \psi(\mathbf{r}) + g|\psi(\mathbf{r})|^2 \psi(\mathbf{r})$$

$$i\partial_t \psi(\mathbf{k}) = k^2 \psi(\mathbf{k}) + \frac{g}{V^2} \sum_{\mathbf{k}_1, \mathbf{k}_2} \psi(\mathbf{k}_1) \psi(\mathbf{k}_2)^* \psi(\mathbf{k} - \mathbf{k}_1 + \mathbf{k}_2)$$

- **Solution:** Pitaevskii (1958), Tsubota, Kamamatsu & Ueda (2002), Kobayashi & Tsubota (2005):

$$i \rightarrow [i - \gamma(k)]$$

- Nuclear superfluids, Kobayakov et al. 2015 for  $T = 0+$  :

$$[i - \gamma_p(k)] \partial_t \psi_p = \dots$$

$$[i - \gamma_n(k)] \partial_t \psi_n = \dots$$

# Second problem: vortex structure

- *Problem:* Vortex core is too small, if we require the non-linear Schrödinger equation to give correct sound velocities
- In other words: the Nambu-Goldstone boson is too heavy
- **Our solution:** *renormalize the NG boson mass spectrally* - make it small for Fourier harmonics describing the core structure

$$g \rightarrow g(k)$$

$$g(k) = g_0 - (g_0 - g_\Delta)\theta(k - 1)$$

$$\frac{g}{V^2} \sum_{\mathbf{k}_1, \mathbf{k}_2} \psi(\mathbf{k}_1) \psi(\mathbf{k}_2)^* \psi(\mathbf{k} - \mathbf{k}_1 + \mathbf{k}_2) \rightarrow$$

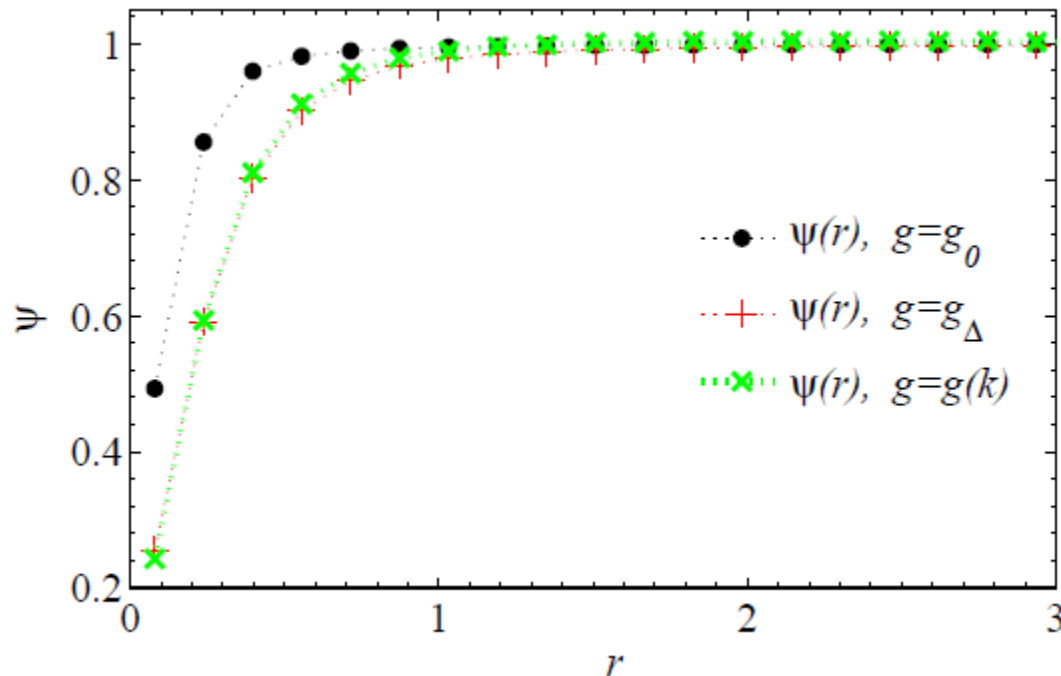
$$\frac{g(k)}{V^2} \sum_{\mathbf{k}_1, \mathbf{k}_2} \psi(\mathbf{k}_1) \psi(\mathbf{k}_2)^* \psi(\mathbf{k} - \mathbf{k}_1 + \mathbf{k}_2)$$



# Increasing the core size by the NG-boson renormalization: numerical evidence

- We solve the equations for a single vortex numerically, using the steepest descent method

$$g(k) = g_0 - (g_0 - g_\Delta)\theta(k - 1)$$

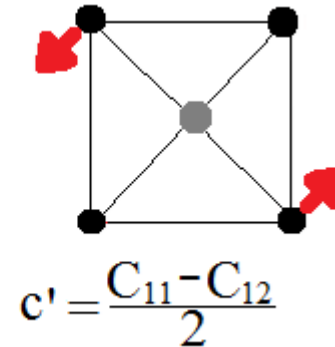
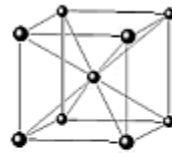
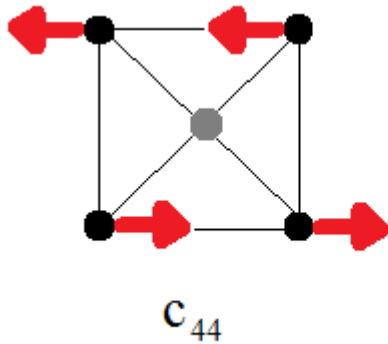


*Instability of the lattice in the crust*

# Theoretical description of the lattice

- Elastic energy:  $\delta^2 F = \frac{1}{2} \sum_{i,j,k,l} C_{ijkl} u_{ij} u_{kl}$
- Cubic crystal*:

$$\delta^2 F = \frac{1}{2} C_{11} (u_{11}^2 + u_{22}^2 + u_{33}^2) + C_{12} (u_{11} u_{22} + u_{11} u_{33} + u_{22} u_{33}) + 2C_{44} (u_{12}^2 + u_{13}^2 + u_{23}^2)$$



- Stiffness of the crust material is very anisotropic (next slide)
- Isotropic solid* (crystallites are small and oriented randomly):

$$\delta^2 F = \frac{1}{2} \sum_{i,j,k,l} \left[ K_{\text{eff}} \delta_{ij} \delta_{kl} + \mu_{\text{eff}} \left( \delta_{ik} \delta_{jl} + \delta_{il} \delta_{jk} - \frac{2}{3} \delta_{ij} \delta_{kl} \right) \right] u_{ij} u_{kl}$$

# Elastic anisotropy of crystals

- Zener ratio  $A = c_{44}/c'$  measures anisotropy in cubic crystals ( $A=1$  – isotropic)

Crystal	A (Zener ratio)
Silver chloride	0.52
Aluminium	1.22
Silver	3.01
Lithium	8.52

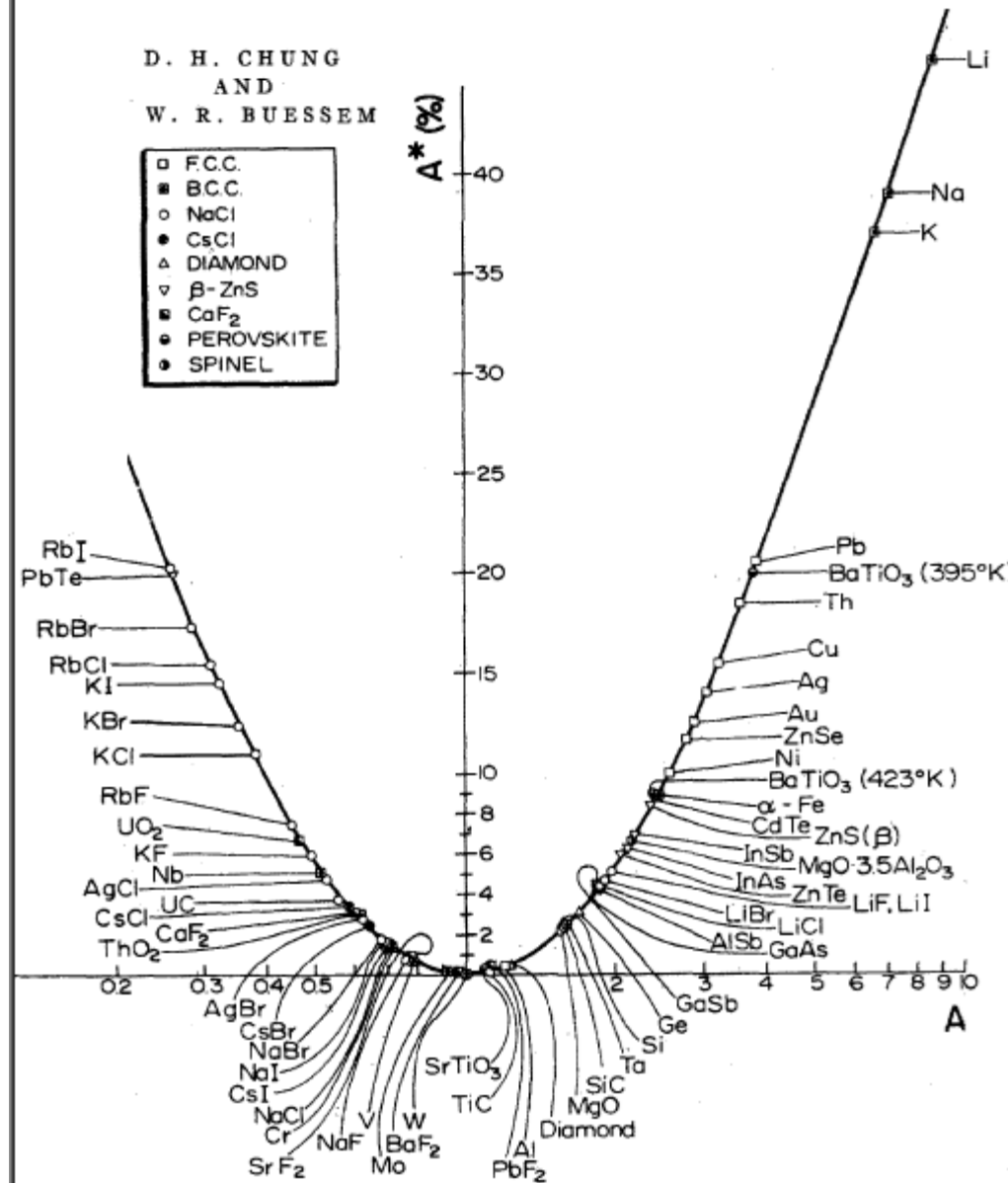


FIG. 1. Graphical representation of the elastic anisotropy for cubic crystals.

- Coulomb crystal (Fuchs, 1936):  $c_{44}/c' \approx 7.4$
- $\delta$ -Plutonium:  $c_{44}/c' \approx 7.3$

# Isotropic model of the inner crust

- Continuity equations (linearized)

$$\partial_t \delta n_p + n_p \nabla \mathbf{v}_p = 0,$$

$$\partial_t \delta n_n + n_n^s \nabla \mathbf{v}_n + n_n^n \nabla \mathbf{v}_p = 0.$$

- Euler equations (assuming that solid is isotropic)

$$m \partial_t \mathbf{v}_n + \nabla (E_{nn} \delta n_n + E_{np} \delta n_p) = 0,$$

$$m(n_p + n_n^n) \partial_t \mathbf{v}_p + n_n^n \nabla \mu_n + n_p \nabla (\mu_p + \mu_e) - \frac{4}{3} S \nabla (\operatorname{div} \mathbf{u}) + S \nabla \times (\operatorname{rot} \mathbf{u}) = 0,$$

Isotropic (pressure)

Shear

$$\operatorname{div} \mathbf{u} = -\frac{\delta n_p}{n_p}.$$

# Induced interactions in the inner crust

- Stability condition is  $\delta^2 E > 0$ , where

$$\delta^2 E = \frac{1}{2} g_{pp} \delta n_p^2 + g_{np} \delta n_p \delta n_n + \frac{1}{2} g_{nn} \delta n_n^2$$

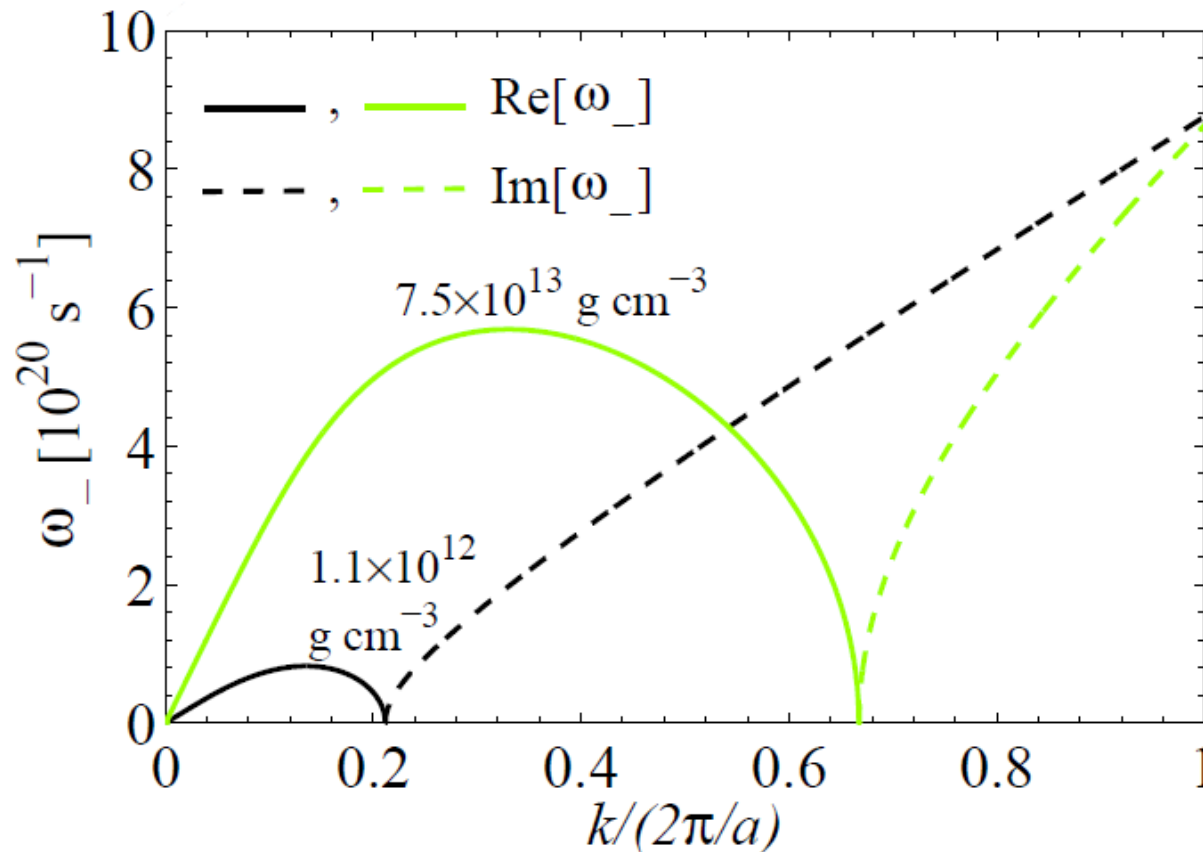
$$\delta^2 E = \frac{1}{2} \frac{\Delta_g}{g_{nn}} \delta n_p^2 + \frac{1}{2} g_{nn} \left( \delta n_n + \frac{g_{np}}{g_{nn}} \delta n_p \right)^2 \quad \Delta_g = g_{pp} g_{nn} - g_{np}^2$$

- Equivalently:  $E_{nn} > 0$ ,  $E_{pp} - \frac{E_{np}^2}{E_{nn}} = \left. \frac{\partial \mu_p}{\partial n_p} \right|_{\mu_n} > 0$ .

- Long-wavelength perturbations are stable, since electrons provide a large positive contribution to the effective proton-proton interaction.
- Effective proton-proton interaction is modified by the screening corrections:

$$E_{pp}(k) = E_{pp} - \frac{\partial \mu_e}{\partial n_e} \frac{k^2}{k_{\text{FT}}^2 + k^2}$$

# Dispersion relation (with screening corrections) for the in-phase mode



This suggests: The most unstable mode lies at the edge of the 1<sup>st</sup> Brillouin zone. Now we need to find direction of that mode.

# Anisotropic model of the inner crust

- Crystal has cubic symmetry, and the elastic properties are anisotropic.
- Deformation vector field  $\mathbf{u}(\mathbf{r})$ .
- Deformation tensor field  $u_{ij} = \partial u_i(\mathbf{r}) / \partial r_j$ .
- Energy of deformation of a cubic crystal to the 2<sup>nd</sup> order ( $C_{ijkl} \equiv \lambda_{ijkl}$ ):

$$\delta F = \frac{1}{2} \lambda_{1111} (u_{11}^2 + u_{22}^2 + u_{33}^2) + \lambda_{1122} (u_{11}u_{22} + u_{11}u_{33} + u_{22}u_{33}) \\ + 2\lambda_{1212} (u_{12}^2 + u_{13}^2 + u_{23}^2)$$



# Stability of crystal

- General stability condition: positive definite dynamic matrix

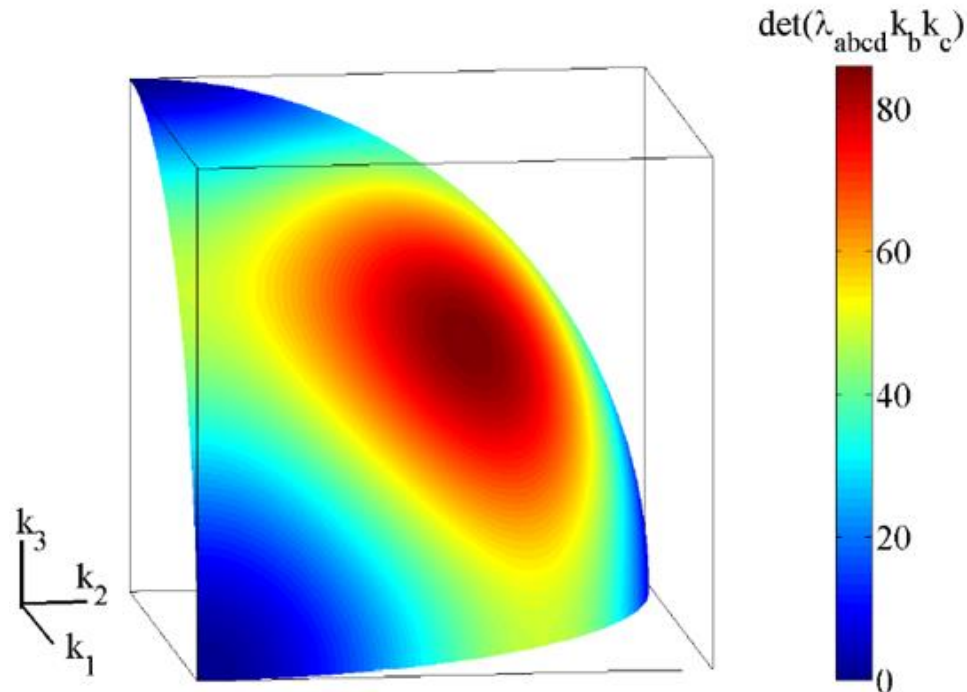
$$\det \left( \sum_{b,c=1,2,3} \lambda_{abcd} k_b k_c \right) > 0$$

- Minimizing the *Gibbs free energy* of a crystal under external pressure is convenient because  $\lambda$ 's retain the Voigt symmetry:

$$\lambda_{1212} = \lambda_{1221}$$

# The most unstable direction

- Keeping constant the shear modulus  $S = (C_{11} - C_{12})/2$  and the coefficient  $C_{44} = \lambda_{1212}$ , we decrease the bulk modulus  $B = (C_{11} + 2C_{12})/3$  and find:



- This result was obtained analytically for  $C_{11} - C_{12} > 2C_{44}$  by J. Cahn, *Acta Metallurgica* **10**, 179 (1962).

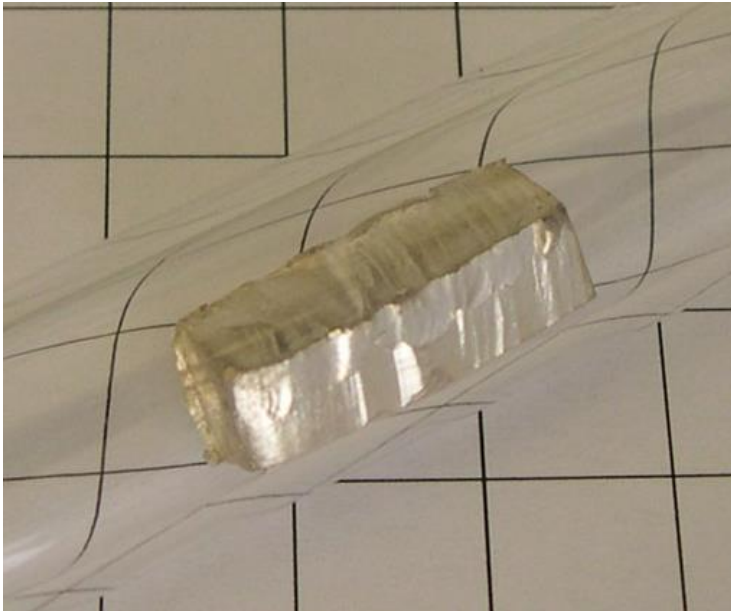
**Remarks about numerical models of the crust:  
The shear modulus  
(3 slides)**

# Single crystals and polycrystals

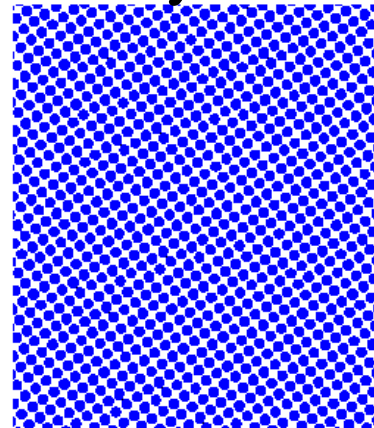
- Hallite (NaCl): cubic



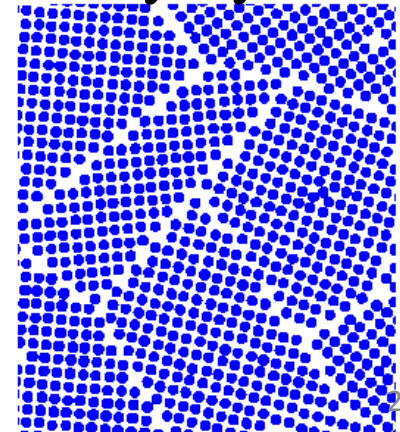
- Lithium: cubic



Crystal



Polycrystal



# Averaging the crystalline orientations

- The task is to express the effective moduli of isotropic polycrystalline medium via moduli of pure crystal
- Assume the medium is composed of randomly oriented crystallites, and average the Hooke's law

$$\hat{\sigma} = \hat{C} \hat{u} \quad \Leftrightarrow \quad \hat{C}^{-1} \hat{\sigma} = \hat{u}$$

$$\langle \hat{\sigma} \rangle = \langle \hat{C} \hat{u} \rangle \quad \text{or} \quad \langle \hat{C}^{-1} \hat{\sigma} \rangle = \langle \hat{u} \rangle$$

• **Reminder:**

*Stiffness tensor*

*Deformation tensor (strain)*

*Stress tensor*

$$u_{ij} = \frac{1}{2} [\partial_i u_j + \partial_j u_i + (\partial_i u_k)(\partial_k u_j)]; \quad \sigma = \frac{\delta F}{\delta u}; \quad \sigma_{ij} = C_{ijkl} u_{kl};$$

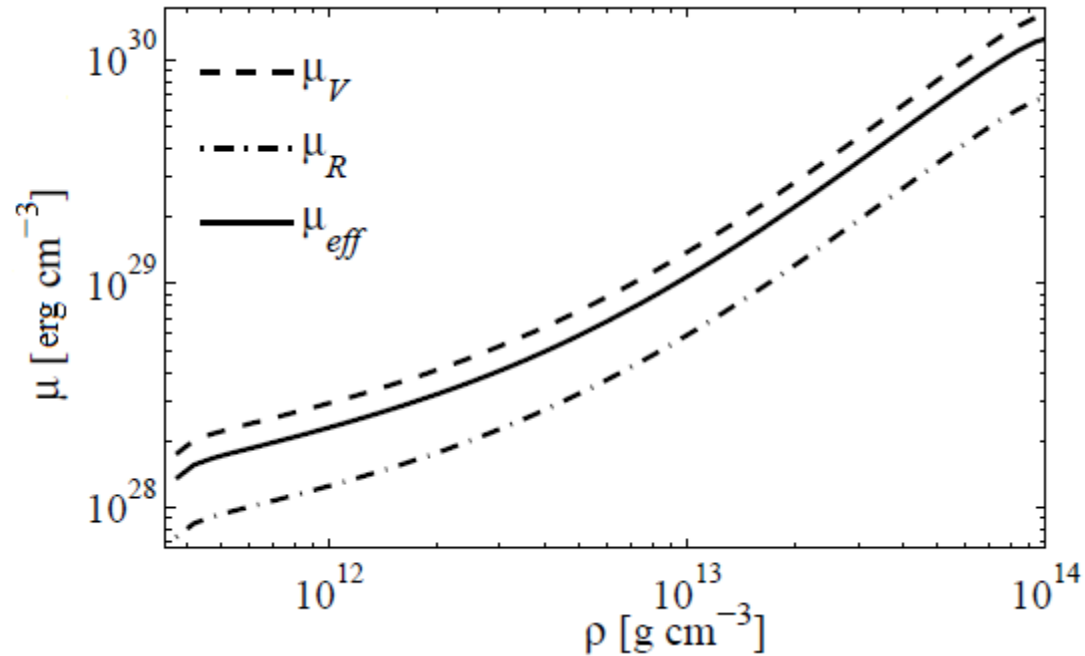
*Energy perturbation*

$$\delta^2 F = \frac{1}{2} \hat{u} \cdot \hat{C} \hat{u} = \frac{1}{2} \hat{u} \cdot \hat{\sigma}$$

# Our results for the shear modulus

- Self-consistent model works extremely well in the laboratory.
- For dense polycrystalline matter  $K$  is much larger than  $c'$  and  $c_{44}$ , and we obtain  $\mu_{eff} = \frac{c_{44}}{6} \left(1 + \sqrt{1 + 24c'/c_{44}}\right)$ .
- Elastic moduli of polycrystalline high-density Coulomb crystal (*neutron star inner crust*) in units  $\frac{n_i Z^2 e^2}{a} \sim 10^{30} \text{ [erg cm}^{-3}\text{]}$

$$\begin{aligned}c' &= 0.0997 \\c_{44} &= 0.7424 \\ \mu_V &= 0.4852 \\ \mu_R &= 0.2071 \\ \mu_{eff} &= 0.3462\end{aligned}$$



# Conclusions

- Induced interactions are important
- We calculate collective modes in the outer core using the effective field theory of a superfluid mixture
- We introduce phenomenological *spectral renormalization of the Nambu-Goldstone boson mass* to deal with small length scales
- ...and phenomenological dissipation to deal with dynamics, in analogy with terrestrial superfluids and superconductors for  $T = 0+$
- We calculate collective modes in the inner crust
- At short wavelength the induced interactions render the lattice unstable
- We find direction of the most unstable mode, which signals a *structural phase transition* in the lattice

# Open questions

- What is the equilibrium structure of the lattice?
- How big are crystal domains – the crystallites?
- What is the superfluid neutron density in the inner crust as function of wavenumber?
- What are the phenomenological damping parameters numerically?
- What are the NG boson mass renormalization parameters numerically?